



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class Mail on the date indicated below and is addressed to: **BOX FEE AMENDMENT**, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: February 27, 2002

*Cindy Hawk*  
Cindy Hawk

Attorney Docket No. 11000.1037c3  
PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of **Lorna Strachan, Matthew Sleeman, Nevin Abernethy, Rene Onrust, Krishanand D. Kumble and James G. Murison**

Group Art Unit: 1646

Application No. : 09/823,038  
Filed : March 28, 2001  
For : **COMPOSITIONS ISOLATED FROM STROMAL CELLS AND METHODS FOR THEIR USE**  
Examiner : Ruixiang Li

**REQUEST FOR CORRECTED FILING RECEIPT**

BOX FEE AMENDMENT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**MAR 19 2002**

**OFFICE OF PETITIONS**

Dear Sir or Madam:

The Filing Receipt for the above referenced patent application has incorrectly listed the "Domestic Priority data as claimed by applicant". It should read "This application is a continuation-in-part of U.S. Patent Application No. 09/383,586, filed August 26, 1999, now U.S. Patent 6,424,419; which is a continuation-in-part of U.S. Patent Application No. 09/276,268, filed March 25, 1999, now abandoned; and claims priority to U.S. Provisional Patent Application No. 60/221,216, filed July 25, 2000". Applicant respectfully requests a corrected Filing Receipt. A copy of the Updated Filing Receipt with the appropriate changes is included for your convenience.

Respectfully submitted,

*Janet Sleath*  
Janet Sleath  
Registration No. 37,007

Date: February 27, 2002

SPECKMAN LAW GROUP



20601

PATENT TRADEMARK OFFICE

Compositions isolated from stromal cells and methods for their use

**Preliminary Class**

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class Mail on the date indicated below and is addressed to: **BOX FEE AMENDMENT**, Assistant Commissioner for Patents, Washington, D.C. 20231.  
Date: February 27, 2002

*Cindy Hawk*  
Cindy Hawk

DAE Receipt  
Attorney Docket No. 11000.1037c3  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Lorna Strachan, Matthew Sleeman, Nevin Abernethy, Rene Onrust, Krishanand D. Kumble and James G. Murison**

Application No.: 09/823,038

Group Art Unit: 1646

Filed : March 28, 2001

For : **COMPOSITIONS ISOLATED FROM STROMAL CELLS  
AND METHODS FOR THEIR USE**

Examiner : Ruixiang Li

RECEIVED

MAR 19 2002

**RESPONSE TO RESTRICTION REQUIREMENT TRANSMITTAL LETTER OF PETITIONS**

BOX FEE AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced patent application are the following:

- 241  
215
1. Response to Restriction Requirement and Preliminary Amendment;
  2. Petition to Accept an Unintentionally Delayed Claim Under 35 U.S.C. 120, 121 or 365(C) for the Benefit of a Prior Filed Application;
  3. Petition for Extension of Time Under 37 C.F.R. § 1.136(a) in duplicate;
  4. Request for Corrected Filing Receipt with copy of incorrect Filing Receipt with changes noted thereon;
  5. Check in the amount of \$1,335.00, of which \$1,280.00 is the require surcharge fee for the Petition to Accept an Unintentionally Delayed Claim Under 35 U.S.C. 120, 121 or 365(C) for the Benefit of a Prior Filed Application and \$55.00 is for the one month Petition for Extension of Time Under 37 C.F.R. § 1.136(a); and
  6. Self addressed return receipt postcard.

The Commissioner is hereby authorized to charge any fees which may be required in connection with the filing of these documents, or credit any overpayment, to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

*Janet Sleath*  
Janet Sleath  
Registration No. 37,007

Date: February 27, 2002

SPECKMAN LAW GROUP



20601



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/823,038	03/28/2001	1645	769	11000.1037c3	9	22	7

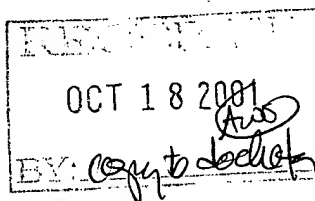
CONFIRMATION NO. 1046

## UPDATED FILING RECEIPT



\*OC000000006899982\*

SPECKMAN LAW GROUP  
Suite 100  
1501 Western Avenue  
Seattle, WA 98101



Date Mailed: 10/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lorna Strachan, Auckland City, NEW ZEALAND;  
Matthew Sleeman, Royston, UNITED KINGDOM;  
Nevin Abernethy, Auckland, NEW ZEALAND;  
Rene Onrust, Auckland, NEW ZEALAND;  
Anand Kumble, The Woodlands, TX;  
James Greg Murison, Auckland, NEW ZEALAND;

RECEIVED  
MAR 19 2002  
OFFICE OF PETITIONS

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/221,216 07/25/2000

6,424,419, which is a continuation-in-part of U.S. Patent Application No. 09/383,586, filed August 26, 1999, now U.S. Patent

## Foreign Applications

PCT/NZ00/00015 02/18/2000

This application is a continuation-in-part of U.S. Patent Application No. 09/383,586, filed August 26, 1999, now U.S. Patent 09/276,268, filed March 25, 1999, now abandoned, and claims priority to U.S. Provisional Patent Application No. 60/221,216, filed July 25, 2000.

If Required, Foreign Filing License Granted 05/09/2001

Projected Publication Date: 01/24/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title